

1

#3 2181 11-24-03

CERTIFICATE OF MAILING

CDRITTICITIES OF MINISTERS			
I hereby certify that this correspondence is being deposited with the U.S. Postal Service	with		
I hereby certify that this correspondence is being deposited with the U.S. Postal Service sufficient postage as first class mail in an envelope addressed to: Assistant Commissione Patents, Washington, D.C. 20231, on November 13, 2003	r for	1	
Patents, Washington, D.C. 20231, on November 13, 2003		Π	l
	711	μ4	L

Wendy Scott

(Typed or Printed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)

Attorney Docket-No.: OR01-23701

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Inventor:

Vipin Samar

Title:

METHOD AND APPARATUS FOR FACILITATING LOW COST AND

SCALABLE IDENTIFICATION AUTHENTICATION RECEN

Filing Date:

January 22, 2002

Serial Number:

10/054,574

Group Art Unit:

2181

Examiner:

To Be Assigned

NOV 2 0 2003

Technology Center 2100

Listed below or on an attached Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. §1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

X This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- __ (1) It is being filed within 3 months of the application filing date
- __ (2) It is being filed within 3 months of entry of a national stage
- X (3) It is being filed before the mail date of the first Office Action on the merits.

_	37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:						
		a certification as specified in §1.97(e) is provided below; or					
	_	a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement. Please note that a check in the amount of \$240.00 is enclosed in payment.					
_		$C.R. \S 1.97(d)$. If this statement is being filed after the mailing date of the earlier of a final office under $\S 1.113$ or a notice of allowance under $\S 1.311$, but before payment of the issue fee, then:					
	A.	a certification as specified in §1.97(e) is completed below; and					
	B.	a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and					
	C.	a fee of \$130.00 as set forth in \$1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.					
_	Statement under 37 C.F.R. §1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in section 1.56(c) more than three months prior to the filing of the information disclosure statement.						
	Signat	A. Richard Park (Reg. No. 41,241) Date					
		Respectfully submitted,					

A. Richard Park Reg. No. 41,241

PARK, VAUGHAN & FLEMING LLP 508 Second Street, Suite 201 Davis, CA 95616 (530) 759-1661

Date: November 13, 2003



SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION PTO-1449				ATTY. DOCKET NO. OR01-23701			APPLICATION NO. 10/054,574		
				APPLICANT Vipin Samar					
				FILING DATE January 22, 2002			GROUP ART UNIT 2181		
CUMENTS					**				
PATENT NO.	DATE		NAME	CLASS SUBCLASS		1	FILING DATE		
5,469,506	Nov. 21, 1995	В	erson et al.	380	RECE	IV7€	D ²		
					NOV 2	0 200	3		
		-			Technology (Center	: 210 0		
<u> </u>	FOREIGN PATEN	VT I	DOCUMENTS	<u>. </u>		L			
PATENT NO			COUNTRY CLASS		GLID GL + GG	Translation			
		-				Yes	No		
0 225 010	10.06.87	E	PO	7/10	9/02		<u> </u>		
		-					 		
OTHER DOCUM	L TENTS (Including Au	 tho	r. Title Date P	ertinent P	ages Etc.)	<u></u>	<u> </u>		
Foreign Publicati Aufbaufunktions	on entitled "HANDBU weise, Einsatz Von Sma	CH	DER CHIPKAI	RTEN, by F	rankl W. et al	,),		
				·					
		DA	ATE CONSIDE	RED		·			
	PATENT NO. OTHER DOCUM Foreign Publicati Aufbaufunktions	CITATION PTO-1449 CUMENTS PATENT NO. DATE 5,469,506 Nov. 21, 1995 FOREIGN PATENT PATENT NO. DATE 0 225 010 10.06.87 OTHER DOCUMENTS (Including Au Foreign Publication entitled "HANDBU	CITATION PTO-1449 CUMENTS PATENT NO. DATE 5,469,506 Nov. 21, 1995 B FOREIGN PATENT PATENT NO. DATE 0 225 010 10.06.87 E OTHER DOCUMENTS (Including Author Foreign Publication entitled "HANDBUCH Aufbaufunktionsweise, Einsatz Von Smart (Pages 396, 458-467, XP002224712.	MATION DISCLOSURE CITATION PTO-1449 PTO-1449 PATENT NO. DATE FOREIGN PATENT DOCUMENTS PATENT NO. DATE COUNTRY PATENT NO. DATE COUNTRY 0 225 010 DATE OTHER DOCUMENTS (Including Author, Title, Date, P) Foreign Publication entitled "HANDBUCH DER CHIPKAI Aufbaufunktionsweise, Einsatz Von Smart Cards, Muencher Pages 396, 458-467, XP002224712.	MATION DISCLOSURE CITATION PTO-1449 PTO-1449 FILING DATE January 22, 2002 CUMENTS PATENT NO. DATE S,469,506 Nov. 21, 1995 Berson et al. 380 FOREIGN PATENT DOCUMENTS PATENT NO. DATE COUNTRY CLASS 0 225 010 10.06.87 POTHER DOCUMENTS (Including Author, Title, Date, Pertinent Percing Publication entitled "HANDBUCH DER CHIPKARTEN, by FAufbaufunktionsweise, Einsatz Von Smart Cards, Muenchen, Carl Handbaufunktionsweise, Einsatz Von Smart Cards, Muenchen, Carl H	OR01-23701 10/054,574	OR01-23701 10/054,574 APPLICANT Vipin Samar FILING DATE January 22, 2002 2181		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.